



Thrivent Funds  
Automatic Exchange  
Plan

Thrivent ID

Section 1 - General Information

Name of owner (print first, middle, last name and suffix, as applicable)

Name of joint owner (print first, middle, last name and suffix, as applicable)

Section 2 - Automatic Exchange Requests

Type of Request: E = Establish C = Change S = Stop  
Frequency: M = Monthly B = Bimonthly Q = Quarterly S = Semiannually A = Annually

Source Fund/Account Number	Destination Fund/Account Number	Type of Request	Dollar Amount	Movement Date(s)	Frequency
			\$		
			\$		
			\$		
			\$		
			\$		

Special instructions:

Section 3 - New Account Cost Basis Election for Covered Shares

If a new account is established when completing the exchange the cost basis method applied to the new account will be Average Cost. If you would like a method other than Average Cost applied to the new accounts complete the below chart. **This only applies to the Proceeds From Broker and Barter Exchange Transactions (IRS Form 1099-B) reportable accounts. Does not apply to retirement accounts, money market accounts or Coverdell Education Savings Accounts.**

Fund Name (Indicate "NEW" if election applies to new accounts.)	Average Cost (default method)	First-In, First-Out (FIFO)	Last-In, First-Out (LIFO)	High Cost	Low Cost	Gain/Loss Utilization
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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**Section 4 - Agreements and Signatures**

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I certify that I have received, read, and agree to the Disclosures (page 3 of this form) and any other disclosures contained in this form.

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Signature of primary owner/conservator/guardian/custodian/trustee/authorized person

Date signed

**X**

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Signature of joint owner/additional trustee/additional authorized person

Date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized person

Date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized person

Date signed

**X**

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**Mail completed form to:**

**Regular Mail:**

Thrivent Funds  
PO Box 219348  
Kansas City, MO 64121-9348

**Express Mail:**

Thrivent Funds  
801 Pennsylvania Ave  
Suite 219348  
Kansas City, MO 64105

**Fax:**

866-278-8363

**Phone:**

800-847-4836

## Disclosures

**Cost Basis Method Applied to the Automatic/Systematic Exchange Transaction(s):** Complete Proceeds From Broker and Barter Exchange Transactions (IRS Form 1099-B) for reportable accounts. The existing cost basis method on your account at the time of each exchange will be used to calculate cost basis information. For accounts subject to cost basis reporting, the cost basis method elected for your account will be used for all systematic exchange plan transactions. You cannot change the cost basis method used after the redemption exchange has been processed.

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### Cost Basis Election

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I understand that:

- Thrivent Mutual Funds will use my election to calculate cost basis for the covered shares held in the account(s) and any future accounts established as a result of an exchange of mutual fund shares. I am responsible for any adjustments to the cost basis information that may apply due to activity occurring in a different account that holds shares of the same fund. If no election was indicated, the Average Cost method will be used.
- Unless the Average Cost method is used, I can use the Specific Identification method for any redemption of covered shares by providing information about the shares to be redeemed at the time of the redemption request.
- I can change this election at any time for covered shares that have not been redeemed, exchanged or transferred from the account(s). If I have elected Average Cost (as opposed to being defaulted to Average Cost), I understand I have the right to revoke this election up until the time of the first redemption, exchange or transfer of covered shares from the account(s). If I change the average cost designation (whether elected or defaulted to) after covered shares have been redeemed, exchanged or transferred from the account(s), I understand that the cost basis of all covered shares in the account(s) at the time of the change will be the current average cost of those shares.
- I am required to use the cost basis information provided on IRS Form 1099-B for covered shares as a result of this election for tax purposes. Information received on non-covered shares is informational only and is not required to be used for tax purposes. Average cost basis on non-covered shares will not be provided to the IRS by Thrivent Mutual Funds.
- I understand that neither Thrivent Mutual Funds nor my representative is a tax advisor, and I have not sought tax advice from either regarding this cost basis election.

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### Terms and Conditions

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I authorize the automatic exchange of shares from my account and the purchase of shares in my designated Thrivent Mutual Funds account(s).

For federal income tax purposes, this exchange transaction constitutes a sale or redemption of the shares submitted for exchange and a purchase of the shares acquired in return. The shareholder may realize a taxable gain for such purposes if the amount received on such sales exceeds the tax-cost basis of the shares submitted for exchange. This transaction may also be taxable under state law. Therefore the shareholder should examine the law of his or her own state to determine whether tax on any gain under such law must be paid. This paragraph does not apply to a qualified tax-deferred plan account.

The simultaneous transaction will occur on the selected day(s). When exchanging from the Thrivent Money Market Fund, appropriate sales charges will be applied. The Automatic Exchange Plan works only when the receiving accounts have the same owner(s) (except for UTMAs and UGMAs) and the same share class.

If a movement date is not selected, the exchange will occur on the 7th day of the month. Select a movement date from 1-28. (If 29, 30, or 31 is chosen, the 28th will be used.) If the date selected falls on a weekend or holiday, the transaction will occur on the following business day.

This option will be established immediately upon receipt. The draw will occur on the first available month and continue at the frequency indicated. If a frequency is not indicated, the exchange will occur monthly.