

## Class S Shares New Account Application

### Section 1 - Type of Account

Select one:

#### Personal Registrations

- ☐ Individual
- ☐ Joint: Tenancy with rights of survivorship
- ☐ Joint: Community Property with rights of survivorship
- ☐ Joint: Tenancy in Common
- ☐ Joint: Community Property
- ☐ Uniform transfer to minor (UTMA)
- ☐ Trust (complete form MF24143)
- ☐ Estate
- ☐ Conservatorship/Guardianship

#### Business/Organization Registrations

- ☐ Church (complete form MF23438)
- ☐ C Corporation (complete form MF23438)
- ☐ S Corporation (complete form MF23438)
- ☐ Nonprofit/Charitable Organization (complete form MF23438)
- ☐ Sole Proprietorship
- ☐ Partnership

### Section 2 - Account Owner(s)

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

When you open an account we will ask for your name, address, date of birth and other information that will be used to identify you. This information may be compared with information obtained from a consumer reporting agency, public database or other source. We may also ask to see your driver's license and other identifying documents.

#### Primary Owner Information (including minor/conservator/guardian/trust/estate/business/organization)

Print first, middle, last name and suffix, as applicable	Trust date
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Description of business/organization registration

Street/Residential address				Mailing address (if different)		
City	State	ZIP code	City	State	ZIP code	
Date of birth	Sex	Social Security/TIN number	Primary phone	Phone type (cell, home, work)		
Email address						

Thrivent Mutual Fund accounts are maintained and serviced by Thrivent Financial Investor Services Inc., the registered transfer agent for Thrivent Mutual Funds. The principal underwriter for the Thrivent Mutual Funds is Thrivent Distributors, LLC, member FINRA. Thrivent Mutual Funds are managed by Thrivent Asset Management, LLC.

**Joint Owner Information (including custodian/trustee/executor/authorized individual)**

Print first, middle, last name and suffix, as applicable

Street/Residential address				Mailing address (if different)			
City		State	ZIP code	City		State	ZIP code
Date of birth	Sex	Social Security number		Primary phone		Phone type (cell, home, work)	
Email address							

**Uniform Transfer to Minor**

Name a successor custodian in the event the custodian/parent dies prior to the child reaching the required age.

Print first, middle, last name and suffix, as applicable

Social Security number

Street		City	State	ZIP code
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**Section 3 - Fund Selection**

Minimum initial investment amount is \$2000 per fund, except \$1000 for Money Market.

Mutual Fund Name	Initial Investment
<input type="checkbox"/> Thrivent Aggressive Allocation Fund	\$ _____
<input type="checkbox"/> Thrivent Balanced Income Plus Fund	\$ _____
<input type="checkbox"/> Thrivent Diversified Income Plus Fund	\$ _____
<input type="checkbox"/> Thrivent Government Bond Fund	\$ _____
<input type="checkbox"/> Thrivent High Income Municipal Bond Fund	\$ _____
<input type="checkbox"/> Thrivent High Yield Fund	\$ _____
<input type="checkbox"/> Thrivent Income Fund	\$ _____
<input type="checkbox"/> Thrivent Large Cap Growth Fund	\$ _____
<input type="checkbox"/> Thrivent Large Cap Stock Fund	\$ _____
<input type="checkbox"/> Thrivent Large Cap Value Fund	\$ _____
<input type="checkbox"/> Thrivent Limited Maturity Bond Fund	\$ _____
<input type="checkbox"/> Thrivent Low Volatility Equity Fund	\$ _____
<input type="checkbox"/> Thrivent Mid Cap Stock Fund	\$ _____
<input type="checkbox"/> Thrivent Moderate Allocation Fund	\$ _____
<input type="checkbox"/> Thrivent Moderately Aggressive Allocation Fund	\$ _____
<input type="checkbox"/> Thrivent Moderately Conservative Allocation Fund	\$ _____
<input type="checkbox"/> Thrivent Money Market Fund	\$ _____
<input type="checkbox"/> Thrivent Multidimensional Income Fund	\$ _____
<input type="checkbox"/> Thrivent Municipal Bond Fund	\$ _____
<input type="checkbox"/> Thrivent Opportunity Income Plus Fund	\$ _____
<input type="checkbox"/> Thrivent Partner Emerging Markets Equity Fund	\$ _____
<input type="checkbox"/> Thrivent Partner Worldwide Allocation Fund	\$ _____
<input type="checkbox"/> Thrivent Small Cap Growth Fund	\$ _____
<input type="checkbox"/> Thrivent Small Cap Stock Fund	\$ _____
<b>Total initial investment amount \$</b> _____	

Dividend and capital gains distributions will be reinvested into the account unless otherwise indicated.

☐ Cash    ☐ EFT/ACH (complete bank information in Section 7)

**Section 4 - Initial Funding**

Initial Funding Method	Investment Amount
Check/Money Order payable to Thrivent Mutual Funds	\$
One Time Withdrawal EFT/ACH (complete bank information in Section 7)	\$
Thrivent Financial Product Complete Withdrawal/Surrender (complete form 11090 for life/health, form 28121 for variable annuity/settlement option, or form 10438 for annuity)	\$
Redemption from Thrivent Mutual Fund (complete form MF23433 for personal/business accounts or form MF28938 for retirement accounts)	\$
Bank Wire to Funds	\$
<b>Total initial funding amount</b> \$	

**Section 5 - Ongoing Funding Options**

- ☐ Automatic Investment Plan (AIP) (EFT/ACH) - complete Automatic Purchase Plan (form MF6568)
- ☐ Automatic Exchange Plan - complete Automatic Exchange Plan (form MF23428)

**Section 6 - Cost Basis Election for Covered Shares**

Fund Name (indicate "ALL" if election applies to all accounts)	Average Cost (default method)	First-In, First-Out (FIFO)	Last-In, First-Out (LIFO)	High Cost	Low Cost	Gain/Loss Utilization
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Cost Basis Election for Covered Shares**

I understand that:

- Cost basis is not applicable for Thrivent Money Market accounts, IRA, 403(b) and other Retirement Accounts, Coverdell Education Savings Accounts and Organizations, except S Corporations, Partnerships, and Sole Proprietorships.
- Thrivent Mutual Funds will apply the default Average Cost Method unless I elect otherwise.
- Thrivent Mutual Funds will use my election to calculate cost basis for the covered shares held in the account.
- My election will apply for covered shares held in my account and any future account(s) established as a result of an exchange.
- I am responsible for any adjustments to the cost basis information that may apply due to activity occurring in a different account that holds shares of the same fund.
- I can change my election at any time for covered shares that have not been redeemed, exchanged, or transferred from the account(s). If I have elected Average Cost (as opposed to being defaulted to Average Cost), I have the right to revoke this election up until the time of the first redemption, exchange or transfer of covered shares from the account(s).
- If I change my Average Cost Method election or default method after covered shares have been redeemed, exchanged or transferred from the account(s), the cost basis of all covered shares in the accounts at the time of the change will be the current average cost of those shares.
- I can use the Specific Identification method for any redemption of covered shares by providing certain information at the time of redemption except if using the Average Cost Method.
- Thrivent Mutual Funds and its affiliates do not provide tax advice.
- I am required to use the cost basis information provided on IRS Form 1099-B for covered shares as a result of this election for tax purposes unless an applicable IRS exception exists with respect to this general rule. Information received on non-covered shares is informational only and is not required to be used for tax purposes. Average cost basis on non-covered shares will not be provided to the IRS by Thrivent Mutual Funds.
- If you are electing a method other than Average Cost, Thrivent Mutual Fund may be able to provide average cost information on the shares purchased prior to January 1, 2012 (non-covered Shares). This information will be provided only to you, not the IRS, and you can choose to use the information to determine your gain/loss.

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**Section 7 - Telephone Transactions**

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☐ Yes ☐ No Telephone Exchange

☐ Yes ☐ No Telephone Redemption by Check

☐ Yes ☐ No Telephone Redemption by Financial Institution EFT/ACH

☐ Yes ☐ No Telephone Purchase EFT/ACH

**Bank Information for Electronic Funds Transfer (EFT/ACH)**

Name of financial institution account owner	Evening phone
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Address of account owner	City	
	State	ZIP code

Name of joint financial institution account owner

Name of financial institution

Address of financial institution	City	
	State	ZIP code

Type of account <input type="checkbox"/> Checking <input type="checkbox"/> Savings	Account number	Routing number
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I authorize Thrivent Financial Investor Services Inc. to: (1) make electronic deposits, withdrawals and corrections to my bank account that comply with U.S. law; (2) act on this authorization until I revoke it by contacting us; (3) apply this authorization to any future bank accounts I may designate; (4) make administrative changes to this authorization which I request such as date or amount changes; (5) release any and all information related to this authorization to the third party account owner, and (6) act upon electronic deposit instructions I provide to Thrivent Mutual Funds.

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**Section 8 - Transfer on Death Beneficiary Designation**

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**Transfer on Death (TOD) is not available on accounts registered as Trusts, Corporations, Estates, Tenants in Common, Custodial Accounts, or Community Property without Rights of Survivorship.**

- Provide all information for primary and contingent beneficiaries.
  - In order to aid in locating your beneficiary upon your death, provide your beneficiary's address and Social Security number, if available.
  - A custodian **must** be designated for all minor beneficiaries.
- If Lineal Descendants Per Stirpes (LDPS) is not listed after each name/group, Primary/Contingent will be used. When LDPS is used, upon the death of the named beneficiary, the beneficiary rights transfer to the descendants of the deceased beneficiary (a person who is in direct line to an ancestor), such as child, grandchild, great-grandchild, and on forever.
- Share percentages must total 100% for primary and 100% for contingent. Use percentages only, not dollar amounts.
- If a beneficiary is a trust, provide the trust name and date the trust was established.
- All account owners must sign. By signing you are agreeing to all terms of this form.

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**Primary Beneficiaries**

Name of primary beneficiary			<input type="checkbox"/> Select LDPS for this beneficiary
Relationship to shareholder	Phone	City	State
Social Security/Tax Identification number	Date of birth/trust		Percentage
Name of custodian (if minor)			

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Name of primary beneficiary			<input type="checkbox"/> Select LDPS for this beneficiary
Relationship to shareholder	Phone	City	State
Social Security/Tax Identification number	Date of birth/trust		Percentage
Name of custodian (if minor)			

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**Contingent Beneficiaries** (effective only if all primary beneficiaries are deceased)

Name of contingent beneficiary			<input type="checkbox"/> Select LDPS for this beneficiary
Relationship to shareholder	Phone	City	State
Social Security/Tax Identification number	Date of birth/trust		Percentage
Name of custodian (if minor)			

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Name of contingent beneficiary			<input type="checkbox"/> Select LDPS for this beneficiary
Relationship to shareholder	Phone	City	State
Social Security/Tax Identification number	Date of birth/trust		Percentage
Name of custodian (if minor)			

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**Section 9 - Agreements and Signatures**

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By signing this agreement, I acknowledge and represent that I have:

- **Received and reviewed a prospectus for the Fund(s) purchased.**
- Read and agree to the Beneficiary Designation Payment Provisions and if applicable the Additional Provisions on this form.
- Legal capacity and authority to purchase shares of the Thrivent Mutual Funds.
- Taken independent responsibility for determining all tax matters which may affect this investment.

**Under penalties of perjury, I certify that:**

1. The Taxpayer Identification number/Social Security number I have provided on this application is my correct tax identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

**Certification Instructions** - You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

I certify that I have received, read, and agree to the Disclosures (pages 7-8 of this form) and any other disclosures contained in this form.

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Signature of primary owner/conservator/guardian/custodian/trustee/authorized individual/executor and date signed

**X**

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Signature of joint owner/additional trustee/additional authorized individual/executor and date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized individual/executor and date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized individual/executor and date signed

**X**

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Signature of additional bank account owner and date signed

**X**

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Signature of spouse and date signed

**X**

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Make check or money order payable to Thrivent Mutual Funds.

**Regular Mail:**

Thrivent Mutual Funds  
PO Box 219347  
Kansas City, MO 64121-9347

**Express Mail:**

Thrivent Mutual Funds  
330 W 9th St  
Kansas City, MO 64105

**Fax:**

866-278-8363

## Disclosures

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### Section 7 - Telephone Transactions

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Your investments can be purchased directly from your bank account into your Thrivent Mutual Fund account or redeemed from your Thrivent Mutual Fund account and deposited into your bank account. Your telephone instructions will be recorded by Thrivent Mutual Funds and processed via Automated Clearing House (ACH). **ACH transactions may take 3-5 business days to complete.**

Telephone transactions must be made by 3:00 p.m., Central Time by calling 800-847-4836.

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### Section 8 - Transfer on Death Beneficiary Designation

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#### Beneficiary Designation and Payment Provisions

This beneficiary designation is effective and revokes all previous beneficiary designations upon: 1) successful completion of the electronic signature process online, or 2) submission to and approval by the Processing Center. If more than one beneficiary designation is received, the designation with the latest date will be honored. If you designate one or more beneficiaries for your account(s), you have the right to change or revoke the beneficiary designation at any time in the future.

Beneficiary designation which included the terms "or" or "and/or" will be administered as if the conjunction "and" was used.

If two or more persons are named as primary beneficiaries, the death proceeds will be paid equally to the survivors or survivor, unless otherwise directed. The same shall be true for contingent beneficiaries if no primary beneficiaries survive. If none of the beneficiaries survive, proceeds shall be paid to the owner or to the owner's estate.

When the term Lineal Descendant Per Stirpes (LDPS) is used; upon the death of a named beneficiary, the beneficiary rights transfer to the lineal descendants of the deceased beneficiary. If a named beneficiary dies without leaving a lineal descendant, that beneficiary's share of the death proceeds will be distributed among the remaining named beneficiaries in proportionate shares. Thrivent Financial accepts the LDPS designation when the individual named is related to the benefit member by blood, marriage, or legal adoption.

Children, sons, daughters, brothers, sisters, half-brothers, half-sisters, grandchildren, great-grandchildren, granddaughters and grandsons are the only acceptable group designations. All group designations listed which are named in the singular (e.g. child, son, daughter, brother, sister, half-brother, half-sister, grandchild, granddaughter, grandson) will include all members of that group at time of the account owner's death. Group designations do not include step-children unless legally adopted.

The words children, issue, grandchildren and children of a deceased child shall include adopted children, adopted issue, adopted grandchildren and adopted children of a deceased child unless otherwise specified.

The interest of any beneficiary is subject to any collateral assignment of the account.

If you have ever signed a marital property agreement with your spouse or former spouse or lived in a community property state while married, your spouse or former spouse may have rights to the death proceeds of your account even if you choose not to name your spouse as beneficiary. Thrivent Financial is not responsible for determining whether your account or death proceeds are subject to community property laws or a marital property agreement or whether you should obtain spousal consent when naming your beneficiary. Thrivent Financial will not be liable for acting in accordance with the designation on file unless we have received a properly documented community property or marital property agreement claim prior to paying death proceeds. By signing this form, I certify that I have read this consent and determined it is not necessary to have my spouse sign and hold Thrivent Financial harmless.

#### Additional Provisions and Instructions

As permitted under the Minnesota Uniform Transfer on Death Security Registration Act (MN.Stat. 524.6-301 -524.6-311), shareholders in the Funds may choose to have their shares transferred upon death directly to their designated beneficiaries. If you choose to name one or more beneficiaries, all shares in the account(s), including those purchased in the future, will be transferred directly to the designated beneficiaries upon your death.

I direct the transfer agent to transfer the shares in such account(s) and any unpaid dividends and capital gains payments, in accordance with this direction and the provision of the Minnesota Uniform Transfer on Death Security Registration Act. If the account(s) established is/are joint tenancy or community property, no transfer of ownership of shares under this beneficiary designation will occur until the death of all owners of the account(s). By designating a beneficiary on a joint or community property account, you are electing to establish a right of survivorship for all multiple owners of the account(s).

I acknowledge that the ability to register a securities account in TOD form is created by state law and not all states have enacted such laws. I understand that I should consult a legal tax advisor before electing or revoking the TOD account designation as I deem appropriate.

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**Miscellaneous Information**

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**Important Notice Regarding Delivery of Shareholder Documents**

In an attempt to reduce shareholder costs and eliminate duplication, if two or more members of a household own the same variable contract or mutual fund issued by Thrivent Financial or an affiliate of Thrivent Financial, we generally send only one prospectus, shareholder report or proxy statement for that product to the shared address. If you would like to receive a separate prospectus, shareholder report, or proxy statement, contact us at 800-847-4836.

**Online Access**

Access [Thriventfunds.com](http://Thriventfunds.com) to create a user ID and password for your online account access and to complete most transaction requests.

You can also sign up for electronic delivery of account Confirms and Statements, Prospectus, and other Fund Reports.